# Properties of Syndiotactic-Rich Poly(vinyl Alcohol) Thin Film in Water. V. Effect of Initial Temperature of Heating on Elongation

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## **Synopsis**

The effect of the initial temperature of heating on the elongation of syndiotactic-rich poly(vinyl alcohol) thin films was investigated in water under a load. The elongation ratios  $E_i$  after 4 h at fixed temperatures increased roughly with an increase in the initial temperature  $T_i$  and a decrease in the annealing temperature.  $E_i$  after 4 h was the smallest at  $T_i = 45^{\circ}$ C for the films annealed at temperatures below 100°C.  $E_i$  was 6.8 at  $T_i = 60^{\circ}$ C for the unannealed film and 1.12 at  $T_i = 70^{\circ}$ C for the film annealed at 200°C. The elongation at break decreased and the temperature at break increased with an increase in annealing temperature, but those at the annealing temperature of 100°C were the smallest. The films annealed at 200°C did not break even at 98°C (boiling temperature) in water and the elongation ratio was 1.42–1.97 in the initial temperature range of 10–70°C. From these results, the relation between the elongation in water and the state of polymer chains in film was discussed.

# **INTRODUCTION**

Poly(vinyl alcohol) (PVA<sub>VTFA</sub>) derived from vinyl trifluoroacetate (VTFA) has been well known to have high water resistance in comparison with commercial PVA.<sup>1,2</sup> Very thin unannealed PVA<sub>VTFA</sub> film was able to with-stand up to 76–90°C whereas the films annealed at 200°C did not break up to 98°C in water under load.<sup>3,4</sup> Further, very thin PVA<sub>VTFA</sub> films elongated to four times its original length and then annealed at 215°C did not break in boiling water under load.<sup>5</sup> The untreated PVA<sub>VTFA</sub> film obeyed Hooke's law in water below a certain load at 25°C. The film reached to an equilibrium length under the low load at 25°C elongated again with increasing temperature, though the elongation was slight in the range of 45–60°C.<sup>3</sup> The mild increase in elongation with increasing temperature was explained by the production of microcrystals in film, based on the studies of the temperature dependency of bending or shear moduli of PVA<sub>VTFA</sub> hydrogels.<sup>6,7</sup>

To clarify the abnormal elongation behavior around 50°C, we chose the temperatures between 10 and 70°C (every 5°C) as the initial temperature and measured the elongation in water for very thin unannealed and annealed  $PVA_{VTFA}$  films.

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## EXPERIMENTAL

#### Sample and Films

The PVA<sub>VTFA</sub> sample and PVA<sub>VTFA</sub> thin films were made by the same method described in the previous papers.<sup>3-5,8</sup> The thickness and width of films were about 18  $\mu$ m and 2 mm, respectively.

# **Measurement of Length in Water**

The measurement of length of  $PVA_{VTFA}$  film in water was carried out as previously described.<sup>3-5</sup> A load of 1.781 g was used in water. Film lengths were 18–40 mm.

## **RESULTS AND DISCUSSION**

#### **Elongation at Constant Temperature**

Figure 1 (left side) shows the changes in the length of untreated PVA<sub>VTFA</sub> thin film in water at various temperatures between 15 and 55°C with standing time. The initiation time of elongation  $t_e$  under the load depended on the temperature in the initial temperature range of 10–35°C for the unannealed and annealed PVA<sub>VTFA</sub> thin films in water. The activation energy  $\Delta E_a$  for the initiation time of swelling was estimated from the plots of the logarithm of  $1/t_e$  against the reciprocal  $T_i$ . The value of  $\Delta E_a$  for films annealed at temperatures over 175°C, 34.2 kcal/mol. The value of  $\Delta E_a$  for the unannealed PVA<sub>VTFA</sub> films under free load was 30.3 kcal/mol.<sup>8</sup> The  $\Delta E_a$  obtained in the previous paper<sup>8</sup> is higher than that obtained in this paper for the same PVA<sub>VTFA</sub> film. The difference is caused by the difference in the state of load.



Fig. 1. Changes in film length of PVA<sub>VTFA</sub> at various initial temperatures and at increasing temperature in water.



Fig. 2. Relation between the elongation ratio and the initial temperature in water for  $PVA_{VTFA}$  film annealed at various temperatures.

The value of  $t_e$  at initial temperatures over 40°C was roughly zero irrespective of unannealed and annealed films. The equilibrium length was reached after 4 h at each initial temperature. Figure 2 shows the relation between the elongation ratio  $E_i$  after 4 h and the initial temperature  $T_i$ .  $E_i$  increased with an increase in the initial temperature, but the increase was slight in the vicinity of  $T_i = 45^{\circ}$ C for the films annealed at temperatures below 100°C. For the unannealed films  $E_i$  was the smallest at  $T_i = 45^{\circ}$ C. This is discussed in detail below.

The value of equilibrium  $E_i$  at  $T_i = 60^{\circ}$ C was about 6.8 for the unannealed PVA<sub>VTFA</sub> film as shown in Figure 2. For the unannealed PVA<sub>VTFA</sub> film in air the highest elongation ratio was about 6.8 at 100°C.<sup>9</sup> For PVA<sub>VTFA</sub> films annealed at temperatures below 125°C,  $E_i$  was considerably higher at  $T_i$  values over 60°C. For PVA<sub>VTFA</sub> films annealed at temperatures over 150°C,  $E_i$  was low, and for PVA<sub>VTFA</sub> films annealed at 175 and 200°C,  $E_i$  values of 1.07–1.29 and 1.07–1.12, respectively, were obtained. Thus, annealing at temperatures over 175°C would result in a structure formation that is able to withstand water, and it is considered to reflect the activation energy as described above.

## **Elongation with Increasing Temperature**

Figure 1 (right side) shows the changes in elongation for the unannealed  $PVA_{VTFA}$  film at the heating rate of 0.5°C/min after the elongation has ceased at various initial temperatures. In the case of initial temperatures below 30°C, significant elongation occurred in the range of 30–45°C and less elongation occurred in the range of 45–55°C. At increased temperature, significant elongation occurred again. However, in the case of initial temperatures



Fig. 3. Changes in film-length with increasing temperature for  $PVA_{VTFA}$  film annealed at 200°C. Initial temperature differs (10, 25, 40, 55, and 70°C).

tures over 35°C, no or slight elongation occurred up to a certain temperature beyond which significant elongation occurred. For the annealed  $PVA_{VTFA}$ films, the abnormal elongation found for the unannealed  $PVA_{VTFA}$  film scarcely appeared. These films finally broke at certain high temperatures. Figure 3 shows the changes in elongation ratio for  $PVA_{VTFA}$  films annealed at 200°C with increasing temperature after the elongation has ceased at various initial temperatures. First no or slight elongation occurred up to a certain temperature, and significant one occurred from about 90°C. The films did not break even at 98°C (boiling water).

The slight elongation in the range of 45–55°C and the minimum value of  $E_i$ at 45°C are related to the production or growth of microcrystals in the swollen  $PVA_{VTFA}$  films during heating or keeping them at about 45°C. Such a result is consistent with that for the changes during heating in the bending or shear moduli of hydrogels of PVA<sub>VF</sub> derived from vinyl formate or PVA<sub>VTFA</sub>.<sup>6,7</sup> The modulus of PVA<sub>VF</sub> hydrogel chilled at 0°C decreased up to about 10°C, increased dramatically in the range of 60-80°C, and then decreased again above about 80°C. The decrease in modulus and the increase in elongation are considered to correspond to the dissolution of smaller microcrystals that constitute the networks in gel or swollen film. The increase in modulus and the mild increase in elongation are considered to correspond to the production and growth of microcrystals. The change in  $E_i$  for the unannealed PVA<sub>VTFA</sub> film in Figure 2 is considered as follows: Microcrystals with various sizes exist in swollen films. The water resistance and the elongation are considered to be influenced by the size of microcrystals. As  $E_i$  increases sharply up to  $T_i = 40^{\circ}$ C, numbers and sizes of dissolved microcrystals increase with an increase in the initial temperature accompanied by that in the chain length between junctions. However, in the range of 0-40°C the thermal energy does not contribute to the crystallization of reoriented polymer chains in water. Around 45°C,

the thermal energy contributes to the recrystallization of reoriented polymer chains and the growth of microcrystals as supported by the modulus change<sup>6,7</sup> for PVA hydrogels with increasing temperature. For the PVA<sub>VTFA</sub> films annealed at 75 and 100°C, the decrease of  $E_i$  at  $T_i = 45$ °C is smaller than that for the unannealed. The advance of crystallization by annealing brings about a decrease in the chain length between junctions.

## **Elongation and Temperature at Break**

Figure 4 shows the relations between the elongation ratios at break  $E_b$  or at 98°C  $E_{98}$  (for films annealed at 200°C) and the initial temperature. The elongation ratios showed some scatter and did not show a distinct tendency to the initial temperature. The elongation ratio at break  $E_b$  for the films annealed at each temperature was plotted against the annealing temperature in Figure 5. The open circles in Figure 5 are the mean values of the elongation ratio at each annealing temperature. The mean elongation ratio decreased roughly with an increase in the annealing temperature. This is due to the decrease in the chain length between junctions with an increase in annealing temperature and to the defect parts that were not removed by annealing.

Figure 6 shows the relations between the ratios of  $E_b$  or  $E_{98}$  to  $E_i$  and the initial temperature. Since the elongation at initial temperatures increased dramatically with an increase in the initial temperature for films annealed at lower temperatures as shown in Figure 2, the ratio of  $E_b$  to  $E_i$  decreased with an increase in the initial temperature. For the unannealed PVA<sub>VTFA</sub> films, the ratio at initial temperatures over 35°C was very small. On the contrary, the ratio for PVA<sub>VTFA</sub> films annealed at 150 or 175°C was approximately constant. This suggests that the elongation in water occurs chiefly with increasing temperature. This fact is due to the production of stable microcrystals which



Fig. 4. Relations between the elongation ratio at break or at 98°C (for films annealed at 200°C) and the initial temperature.



Annealing temperature (°C)

Fig. 5. Relation between the elongation ratio at break and the annealing temperature:  $(\circ)$  mean values of the elongation ratio at break at each annealing temperature.



Fig. 6. Relations between the ratios of the elongation ratios at break or at 98°C (for films annealed at 200°C) with increasing temperature to the elongation ratio after 4 h at the initial temperature and the initial temperature for  $PVA_{VTFA}$  films annealed at various temperatures.



Annealing temperature (°C)

Fig. 7. Relation between the temperature at break and the annealing temperature:  $(\circ)$  mean values at each annealing temperature.

are able to withstand water at 70°C. For  $PVA_{VTFA}$  films annealed at 200°C the elongation in water was very small even with increasing temperature as shown in Figure 3.

The temperature at break for PVA<sub>VTFA</sub> films annealed at each temperature showed some scatter but was approximately constant in the initial temperature range of 10-70°C. Figure 7 shows the relation between the temperature at break and the annealing temperature. The open circles in Figure 7 are the mean values of the temperature at break at each annealing temperature. The mean temperature at break increased roughly with an increase in annealing temperature. However, the temperature at break was the smallest at the annealing temperature of 100°C and was similar to the elongation ratio as shown in Figure 5. In the previous paper,<sup>9</sup> we found that in the case of undrawn  $PVA_{VTFA}$  films in air the degree of crystallization became a plateau in the range of the annealing temperature between 100 and 125°C, and Young's modulus and the strength at break was smallest at the annealing temperature of about 100°C. PVA has two second-order transition points (80 and 120°C).<sup>10</sup> In the region of 80-120°C the mobility of chains in amorphous parts rises significantly; thus the stress at both ends of fixed film becomes lower than that in the glass state (below 80°C). Therefore, the degree of crystallization plateaus in the range of the annealing temperature between 100 and 125°C. These results are considered to have brought about the minimum elongation ratio at break (in Fig. 5) and the minimum temperature at break (in Fig. 7) at the annealing temperature of 100°C.

## CONCLUSION

The length of  $PVA_{VTFA}$  thin film under a load in water increased roughly with increasing temperature, but the equilibrium elongation was smallest at the initial temperature of 45°C for the unannealed films and plateaus in the

vicinity of 45°C with increasing temperature. Since the unannealed  $PVA_{VTFA}$  thin films ware able to withstand water up to high temperature as shown in Figure 7, the  $PVA_{VTFA}$  thin films have more stable microcrystals than films of commercial PVA. The abnormal elongation of  $PVA_{VTFA}$  film in water in the vicinity of 45°C is considered to be as follows: If unstable small microcrystals in swollen films are supposed to dissolve depending on the temperature, free chains between junctions become longer. The free chains that emerged from stable long microcrystals would be expected to grow to longer microcrystals by the participation of the long microcrystals as a seed. This consideration must be confirmed by other methods.

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